Using a J2EE Cluster for Parallel Computation of Join Queries in Distributed Databases

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Abstract— In here we consider the problem of parallel execution of Join operation by a J2EE cluster. J2EE clusters are intended for coarse-grain distributed processing of multiple queries/business transactions over the Web. Thus, the possibility of using a J2EE cluster for fine-grain parallel computations (parallel Joins in our case) is intriguing and of practical interest. We have developed a new variant of the SFR algorithm for parallel computation of Cartesian Product in Join operations and proved its optimality in terms of communication/execution-time tradeoffs via a simple lower bound. Our experimental results show that despite the fact that J2EE is considered to be a platform that uses a complex interfaces and software entities, such as various types of Java beans, J2EE clusters can be efficiently used to execute Join operation in parallel.

Keywords: Parallel Algorithms, Cluster Computing, J2EE, Distributed Databases

I. INTRODUCTION

Server-side Java technologies have grown up dramatically over the past years. Various Java-based Web application servers have emerged as a convenient mean for numerous financial, E-Commerce and business applications. Commercial products supporting these technologies are developed by IBM (WebSphere [1]), BEA (WebLogic [2] [3]), SUN (Sun One [4]), Oracle (Oracle application server [5]) and other.

These products support high level programming architecture called J2EE (Java2 Enterprise Edition) developed by SUN. J2EE [6] simplifies enterprise applications development and maintenance by basing them on standardized, modular components, by providing a complete set of services to those components, and by handling many details of application behavior automatically, without complex programming. In particular, J2EE is designed to support distributed Web applications allowing a set of multiple clients to perform concurrent queries to a remote database over the Web.

In this work we show that the J2EE architecture can be used not only to easily implement a concurrent set of queries but also to improve parallel processing of a single query. In particular we show that the parallel computation of Join [7] query (one of heaviest types of queries) can obtain high speedups over a J2EE architecture. We remark that due to the complexity of J2EE (supports many high-level features and standards) it is not clear in advance that J2EE can be also used to efficiently parallelize processing of queries.

The implemented algorithm has some novel concepts in respect to previous works on parallel algorithms for executing Join operation (pipeline of messages, binary filtering trees and random distribution of new rows). The optimality of this Edi Shmueli I.B.M. Research Center, Haifa, Israel

algorithm is proved via a simple lower bound. Thus, beside the proof of concept for parallel Joins over J2EE clusters, this work also contributes to the theoretical knowledge regarding the parallel algorithms for the Join operation.

A J2EE application can be viewed as a collection of independent components (Java Beans [8]), communicating each other using various types of messages. Each bean can be accessed remotely over the Web by any other component that has a "handle" to that bean. There are several types of beans (figure 1), each implementing a different functionality in the J2EE application. The application can be viewed as a distributed multi-tiered structure. We will shortly describe the principal tiers:

- Client-tier: contains the application clients, initiating queries using applets or HTML pages, through the Web browser of the client's machine.
- Web-tier: contains Servlets [8] or JSP pages [8] that receive the clients requests (as HTTP or XML), parse them and activate the appropriate methods of the Business tier components. Later, the components of the Web tier also deliver the result of the client's query back to the client. The Web tier components are activated by the Web server on the machine that hosts the J2EE components (figure 1).
- Business-tier: contains the implementation of the logic of the query. It is based on a distributed asset of components that can communicate each other via messages and Message Driven Beans (MDB [8]), or directly by activating remote methods. These components are managed and executed by special servers called Application servers.
- Database-tier: contains components that can access to the set of databases which act as a persistent storage of the application data. The components (Entity Beans [8]) allow the J2EE application to perform database queries via a set of DB servers (figure 1).

A J2EE application should serve a dynamic set of Web clients obtaining reasonable response times. Consequently, J2EE components (including the DB servers) can be partitioned between a set of machines constructing a given cluster. Most of the modern Application Servers and the DB servers support multi-transaction mode of execution [9]. Thus transactions updating the same data in the databases are executed atomically. In addition, in case of an error in one of the components, the set of databases is automatically rolled back to a persistent state before the last transaction



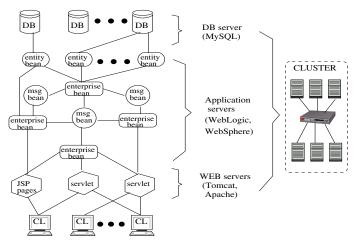


Fig. 1. The J2EE architecture.

started. Thus, it is possible to comprehend J2EE as a novel convenient environment and programming methodology for the implementation of distributed applications over a cluster.

It is a natural question if J2EE clusters can be used not only to process multiple queries but also to speedup the execution of a single query. This motivation is supported by the fact that large J2EE clusters can be easily built using common PCs and regular communication medias. Thus it is not unlikely to foresee a growing use of large J2EE clusters in academia and industry.

For such a large cluster, we may also require real parallel processing of a single query. Basically, current servers do support concurrent execution of multiple queries over a cluster, but fail to support parallel execution of a single query. To achieve a parallelization, the query should be parsed, recompiled, and be later executed in parallel over a number of computation resources. We remark that parallel computations of this kind are not supported yet neither by J2EE, nor by the leading servers' manufacturers.

II. THE PARALLEL JOIN ALGORITHMS

In here we describe the parallel Join algorithm used by the proposed cluster and prove its optimality via a simple lower bound. Join operation computes a Cartesian Product of two database tables A and B returning only the tuples $\langle A_{row_i}, B_{row_j} \rangle$ that satisfy a given condition. For instance, if the tables A and B contain the lists of students in the university, we would like to find a pairs of students, where $\{\langle A_{row_i}, B_{row_j} \rangle | A_{row_i}.age \rangle B_{row_j}.age\}$. We focus on the parallel execution of the Cartesian Product part of Join query, assuming that no preceding optimization stages such as sorting or filtering can be operated to reduce the amount of tuples that are generated by the product (see [10] for a discussion about such possibilities).

Let *n* be the number of rows in each table (A/B) and *p* the number of machines in the cluster. We assume that the two tables *A* and *B* are partitioned between some k < p machines in any arbitrary way. Thus, if all of the combinations are to

be created, then the best possible parallel computation time is $\frac{n^2}{p}$. A naive solution will be to partition A between the p machines and replicate B in each machine, in total p times. In this way each machine will compute a different part of the product $(\frac{n^2}{p})$ in each machine). This solution, though optimal in the number of combination each machine computes, requires minimal of n communication steps, as B will broadcast to every machine. The problem we consider is to find a parallel algorithm that minimizes both the communication and the computation requirements. In J2EE clusters, due to the fact that components communicate through Message Driven Beans, the communication minimization is particularly important.

Now we will briefly review the related works. The original Fragment and Replicate (FR) algorithm [11] for distributed computation of Join operation is one of the earliest algorithms using parallelization in a distributed systems. FR algorithm fragments one of the tables between the p machines and replicates the other table across the rest of the machines. This results in a relatively high communication costs. The Symmetric Fragment and Replicate (SFR) algorithm proposed in [12] reduces the communication costs by fragmenting both the tables to \sqrt{p} equal parts and replicating each fragment across \sqrt{p} machines. This significantly decreases the amount of data communicated, and does not change the amount of computation minimality, however, it is proved under initial assumptions which are not necessarily true.

A number of recent works refer to the issue of distributed query processing optimizations on the Web. For instance, [13] presents a distributed query processing using asynchronous messaging. In this approach a query computation path is shortened when a part of it is satisfied by a specific machine. Another kind of computation is described in [14]. There the query is sent to the sites, processed locally, and finally the results are sent back for a recombination.

Many of the works in distributed processing of database queries (see [15], [16] and [17]) address the issue of how to optimally compute a complex query distributing the subqueries between the different machines of the cluster. For distributed databases two-step optimization is generally used. In the first stage, at the compile time, a plan, specifying the Join order, methods and access path is executed. In the second stage, just before the actual execution, the machines to perform the operation are selected. In here we focus only on the issues of parallel execution of the Cartesian Product part of the Join operation.

As observed in previous works, there is a trade-off between the communication and the efficiency of a parallel Join algorithm, as the whole Cartesian Product can be computed in one machine without any communication overhead.

We turn now to the proof of the optimality of the SFR algorithm. Though we basically used the SFR algorithm, we have to prove its optimality. The reason is that in [12] the proof for optimality is based on the assumption that the subpart of the Cartesian Product that is executed by some machine is "rectangular" (i.e., the number of columns in each row is



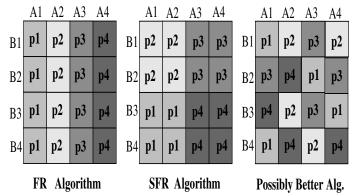


Fig. 2. Three possible partitions of a Cartesian Product computation on 4 machines.

equal). Since we consider only two-way Join, in our case the problem can be formulated as follows:

Definition 2.1: Let the Cartesian Product of two tables, A and B, each of size n, be represented by an $n \times n$ mesh (G), where each unit represents a different combination of rows in the product. We are interested to find a partition of G to rectangles, such that each rectangle is colored by one of p colors. The problem is to find an optimal partition that minimizes both the overall circumference of each color and the overall area of the rectangles of each color.

The intuition behind the above definition is that each rectangle colored by p_i represents a sub-part of the product that will be computed by the machine p_i in the cluster. The circumference of this rectangle models the communication needed to transmit the relevant part of A and B to the machine p_i .

Figure 2 depicts the differences between the FR, SFR and another alternative solution. The FR algorithm (figure 2 left) copies *B* to each machine, thus the communication overhead, circumference of the rectangle allocated to p_i , is 10. The SFR algorithm (figure 2 middle) improves this factor and the circumference of the rectangle allocated to p_i is 8. However, theoretically other non-rectangular partitions are also possible and we need to prove that any other partition, different from that of the SFR, will increase the total circumference of each p_i . For example, the circumference in the partition of some alternative algorithms (figure 2 right) is 16 for any p_i .

Theorem 2.1: The optimal partition of the structure definied in 2.1 is obtained using the SFR algorithm by allocating a square of $\frac{n}{\sqrt{p}} \times \frac{n}{\sqrt{p}}$ to each machine (assuming that \sqrt{p} is a natural number).

Proof: Assume that there is a non-square partition α of the mesh G such that each machine/color has a lower circumference than in the SFR partition. Clearly, the total circumference of α can only improve if we "glue" all the sub areas of each color to one shape (true for any form of gluing). Thus after gluing we have p shapes each with a smaller circumference than $4 \cdot \frac{n}{\sqrt{p}}$. Since G is a mesh, the edges of each shape in α are straight lines (parallel to one of the axes of G). It is now possible to improve the circumference of any shape of

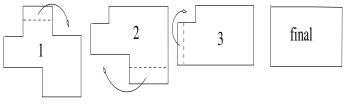


Fig. 3. Smoothing a convex corner by peeling.

 α by "smoothing" corners. This process, depicted in figure 3, evolves by selecting a "convex" corner, "peeling" a prominent sub-part of this corner and filling it in another "concave" corner of the shape. Clearly, after the peeling, the circumference of each shape gets smaller. This process is repeated until each shape has no more concave corners. Finally, a shape whose edges are straight lines, with no concave corners and minimal circumference, must be a square. This contradicts the fact that α has a smaller circumference than the squares of the SFR algorithm.

This proof can be easily extended to a higher dimensions and be applied to an *n*-way Join. Note, that using the SFR algorithm implies that each table will be partitioned to $\frac{n}{\sqrt{p}}$ parts. Thus, out of the p machines of the cluster, we will use only \sqrt{p} databases, letting each of these \sqrt{p} machines hold one fragment of each table. We remark that the duplication of each fragment to \sqrt{p} machines can be done in a pipeline manner. Thus, a fragment containing $\frac{n}{\sqrt{p}}$ rows of a table, can be duplicated to \sqrt{p} machines in $\sqrt{p} + \frac{n}{\sqrt{p}}$ steps, letting each machine to resend the accepted data in a pipeline manner to the next neighbor. In this respect working in a pipeline mode is reasonable when using a cluster, since at any given time there will be at most p messages in the cluster. Assuming that the communication network can support p messages the communication should not be a bottleneck in such a cluster. The main obstacle for obtaining speedups can only be the result of significant overheads involved with the three types of servers used in the cluster (Web servers, Application servers and DB servers).

III. IMPLEMENTATION DETAILS

In here we describe the J2EE implementation of the SFR algorithm. It is clearly not sufficient to implement only the SFR algorithm, as the Join operation is always part of a query whose overall execution could potentially dominate the possible speedups of parallel Joins. Thus, the J2EE server supports the execution of relatively simple queries. The queries have the following syntax

$$T^A \times T^B / F \longrightarrow U_{T_A}, U_{T_B}, D_{T_A}, D_{T_B}, I_{T_A}, I_{T_B};$$

where T^A and T^B are regular JQL [18] commands (Java interface to execute SQL), F is a regular Java function implementing a condition on the tuples (e.g., $T^A.x + T^B.y < 100$) and $U_{T_A}, U_{T_B}, D_{T_A}, D_{T_B}, I_{T_A}$ are user-defined methods handling respectively Update, Deletion and Insertion operations.



Basically the server performs the following sequence of actions: (1) Computes a Cartesian Product of two tables $A \times B$; (2) restricts the resulting combinations only to those satisfying the function F; (3) Filters the combinations in the product which will result in updates/deletions of the same rows in A or B; (4) Updates the k databases by modifying, deleting or inserting rows to A or B.

Figure 4 depicts the proposed bean configuration of the server where p = 4 and two tables T^a, T^b are partitioned between two databases. The figure shows the beans that are relevant for the query

$$\begin{array}{l} Q = T^a \times T^b / F \Longrightarrow \\ U_{T^a} \ is \ T^a.x = T^a.x + T^b.y, \\ U_{T^b} \ is \ T^b.y = T^a.x * T^b.y; \end{array}$$

The above query can be explained as "Choose a pair of rows from table A and B satisfying the restriction F. Then update the field x of the row from table A and the field y of the row from table B with the sum and the product (respectively) of their values prior to the query execution".

Now we will describe the basic stages of query execution in our system:

- 1) A client activates a servlet in one of the cluster's machines chosen at random (this distributes equally the requests between the machines).
- 2) The servlet invokes in parallel four next m beans, associated with $T_0^a, T_0^b, T_1^a, T_1^b$ (figure 4), to extract m rows from the table. This operation is done in quanta of m rows to cover the overhead related to the accesses to database.
- 3) The *next m* beans create entity beans to select the appropriate rows from $T_0^a, T_0^b, T_1^a, T_1^b$ tables in the database.
- 4) The collections of database rows, returned by the entity beans, are packed in messages and sent to the suitable "product bean" of the mesh.
- 5) Messages contain a fixed number (m) of rows. This allows the pipeline mode of operation and covers the overhead related to messages sending and receiving.
- 6) In the mesh each "product bean" (say, $T_0^a \times T_1^b/F$) accumulates the incoming messages. During the accumulation, each bean sends in a pipeline manner the incoming messages to relevant its neighbors along the column and the row, using Java Message Driven Beans (not shown in figure 4).
- 7) In fact, initial filtering occurs already in a "product bean", as already at this stage it is possible to filter updates, deletions and insertions to the same row.
- 8) The tuples, produced by the Cartesian Product $T_i^a \times T_j^b/F$, are sent to a suitable filter bean using Message Driven Beans.
- 9) The filter bean eliminates multiple updates, deletions and insertions to the same rows of T^a/T^b and activates the entity beans, responsible for updating the tables.
- 10) In each filter bean the filtering is done separately for a relevant table. Thus, concurrent update, deletion and insertion to the tables can be handled.

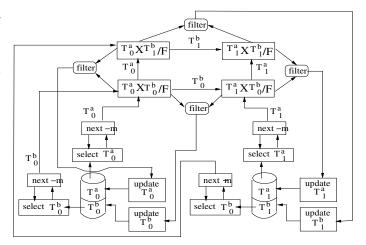


Fig. 4. Bean configuration for the *i*'th column of the mesh.

- 11) New rows are inserted to one of the \sqrt{p} databases, chosen at random. This increases the probability of keeping the size of tables roughly equal after a number of queries .
- 12) As well as the Select operation, the Update operation on the database rows is also done in quanta of m rows.

Note, that pipeline mode of work is essential to get low execution times. For example, letting each process that computes a sub-part of the Cartesian Product to select its data directly from the suitable database will result in a sequential bottleneck and will prevent us from getting the right execution times. In addition, even though JMS supports broadcast, it is very inefficient to use it, since broadcasting to/from one location results in sequential execution times.

All the beans of the cluster are handled through one container and are thus working in a multi-transaction mode. No method can update some database table while a concurrent invocation of this method accesses that table. As well, recall that Insert/Delete/Update operations are executed in J2EE by applying the Create()/Remove()/Set() methods of the corresponding entity beans and the changes are rolled back in case of failure. Thus, both the properties of query, atomicity and failure resilience are achieved.

Allowing many clients to access the servlet simultaneously yields possible concurrent activation of many queries. We assume that the container will handle all these queries in multitransaction mode, so that they will not interfere each other.

IV. EXPERIMENTAL RESULTS

The above algorithm was implemented over a cluster of 8 machines. The hardware configuration of the machines was similar: PentiumIII-1800 CPU with 256MB of RAM memory. BEA (Weblogic) [3] application server was used as the underlying deployment infrastructure of the application. One of the machines was chosen to act as an Admin server which controlled the cluster, and the rest 7 machines were used as slaves ("managed" in BEA terminology). The Admin machine was also used to remove and add machines to the



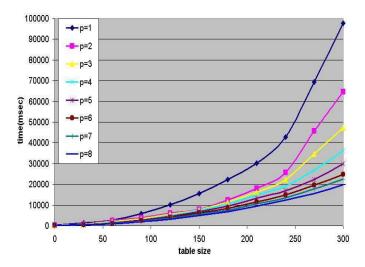


Fig. 5. Execution time over a cluster of p machines as a function of the table size n.

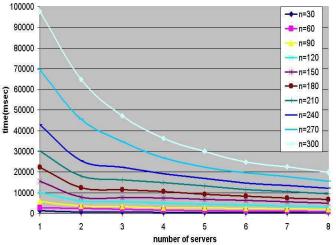


Fig. 6. Execution time of $n \times n$ Cartesian Product as a function of the cluster size p.

cluster (i.e. to change the cluster size) and also to deploy the application to the corresponding BEA application servers. The Admin machine itself also ran a managed BEA instance, and thus the full cluster size was composed of one Admin instance and 9 managed instances spread on 8 different machines.

All the k databases holding different parts of each table should have been distributed between the k machines, however, this was impossible, as BEA application server did not allow to work with a distributed MySQL database. Technically, MySQL server does not have a JDBC [8] driver supporting distributed transactions (XA driver). Consequently, we were forced to use different tables, located in a single database to simulate the distribution of the databases and insert a dimension of concurrency to the database operations. This problem has clearly dominated the execution times. We actually believe that optimal speedups would have been obtained if we could distribute the DB server. This is supported by the observation that during the experiments the cartesian product was completed long before the actual update to the DB has completed.

The database contained two table of integers (each row corresponds to one integer). The Join operation was used to implement the following queries:

- Qdouble: doubles the range of numbers in the table.
- Qprime: marks each number as prime / non-prime by checking if it is can be represented as a product of two other numbers in the database.
- Qsum: checks whether each number can be represented as a sum of two prime numbers.

A transaction began when submitting a query on the Web page and ended when all data was written down back to the database. To measure the transaction time, log4j [19] package was used. It recorded the timestamps of different phases of the computation from submitting the query to the completion of all writes.

Two main parameters were modified during the experi-

ments: cluster size (p) and tables size $(n = 30, \ldots, 300)$. We ran multiple tests and measured the execution time on different table and cluster size. We started with a cluster of size 1 and gradually increased its size to 8 machines. When the cluster contained a single machine, all the beans were deployed to the same machine. To enlarge the cluster to 2 machines, we activated another managed BEA instance and relocated approximately half of the beans to the recently connected machine and so forth. When enlarging the cluster, we always tried to deploy the application with equal as possible number of beans on every participating machine. By doing this, we attempted to equally distribute the computation operations between the cluster machines.

Figure 5 summarizes the results of this set of experiments. Note, that the best speedup, as expected, is achieved when the cluster contains all 8 machines. The time of 300×300 product computation with 1 machine was 97.643 sec, while with 8 machines it took only 19.849 sec. The total speedup in this case was over 4.9. This is a very good result, taking into account sequential access to the database and communication overheads. As the table size increases, the speedup improves due to the fact that the relative part of the database accesses, compared to the whole transaction computation time, decreases. Note that there is no point in using well-known benchmarks (e.g., TPC-H) as these do not include extensive Cartesian Products.

The results in figure 6 demonstrates the effect of changing the number of machines in the cluster for various values of n. Note, that the improvement in the speedup is not linear as the number of machine increases. We believe that this is also the result of the sequential bottleneck in the database accesses.

V. CONCLUSIONS

In here we considered the problem of parallel computation of Cartesian Product in Join query, executed over a cluster, based on J2EE technology. The SFR parallel algorithm, implemented in our experiments, showed that despite the considered



complexity of J2EE, it still can be used in fine-grain parallel computations. To reach satisfactory experimental results in the current implementation, the following enhancements were used:

- Usage of message pipelining as a crucial technique to reduce communication delays and overlap database accesses with computations.
- Reduction of memory size usually needed by J2EE to compute large-size products. This was achieved by replacing the use of entity beans with direct access to the database using JDBC.
- Usage of probabilistic load balancing scheme enabling us to ensure that the number of rows in each sub-table A_1, B_1, \ldots, A_k roughly remains equal.
- Usage of multi-transaction mode of execution to preserve both the database consistency and recoverability (despite the concurrent execution of multiple queries through the Web).
- Optimization of message sending. J2EE overall communication performance is known to be quite low, so that messages containing m > 1 rows of the DB tables where used to hide the overhead associated with messages in J2EE.

We believe that optimal speedup could have been obtained if the underlying application server could support transactions over a distributed set of databases. Also, faster execution times could have been obtained had this algorithm been implemented at a lower level (e.g., as a part of MySQL).

We found that J2EE significantly shortens software development times, as it provides a wide variety of packages and implemented components. However, it increases the execution time due to the associated overhead of using the beans and internal scheduling. Thus, there is no point in comparing the execution time of the proposed J2EE cluster with a naive sequential implementation, working directly over the database. The proposed system can be useful in the context of J2EE applications, where J2EE is used due to its ability to quickly build distributed Web applications over databases. Clearly, faster execution times can be obtained had this algorithm been implemented at a lower level (e.g., as a part of MySQL).

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